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3762

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**TRANSMITTAL  
FORM**
(PTO be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number	10/002,669
Filing Date	October 31, 2001
First Named Inventor	Jerry Hartlaub
Group Art Unit	3762
Examiner Name	n/a

Attorney Docket Number

11738.00046

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>Form PTO-1449 (1 page) with (11) cited references Return Receipt Postcard</b>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is hereby authorized to charge any deficiencies in payment or credit any overpayment to our Deposit Account No. 19-0733.	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Binal J. Patel
Signature	
Date	March 26, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 11738.00046)

PATENT

In the Application of:

Jerry Hartlaub )  
Serial No. 10/002,669 ) Group Art Unit: 3762  
Filed: October 31, 2001 ) Examiner: not assigned  
For: PATIENT SCHEDULING TECHNIQUES FOR AN )  
IMPLANTABLE MEDICAL DEVICE )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. 1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed PTO Form 1449.

**REFERENCES**

**U.S. Patent Documents**

1. U.S. Patent No. 4,766,542, issued August 23, 1988;
2. U.S. Patent No. 5,389,078, issued February 14, 1995;
3. U.S. Patent No. 5,950,630, issued September 14, 1999;
4. U.S. Patent No. 5,970,466, issued October 19, 1999; and
5. U.S. Patent No. 6,003,006, issued December 14, 1999.

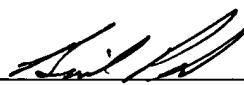
**Foreign Patent Documents**

1. International Publication No. WO 94/24929, published November 10, 1994;
2. International Publication No. WO 00/47108, published August 17, 2000;
3. International Publication No. WO 84/01719, published May 10, 1984;
4. International Publication No. WO 96/13790, published May 9, 1996;
5. International Publication No. WO 99/10029, published March 4, 1999; and
6. International Publication No. WO 99/44167, published September 2, 1999.

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Respectfully submitted,  
BANNER & WITCOFF, LTD

Dated: March 26, 2002

By: 

Binal J. Patel  
Reg. No. 42,065

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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	10/002,669
(use as many sheets as necessary)		Filing Date	October 31, 2001
		First Named Inventor	Jerry Hartlaub
		Group Art Unit	3762
		Examiner Name	n/a
		Attorney Docket Number	11738.00046
Sheet	1	of	1

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 94/24929	11/10/94			
		WO 00/47108	08/17/00			
		WO 84/01719	05/10/84			
		WO 96/13790	05/09/96			
		WO 99/10029	03/04/99			
		WO 99/44167	09/02/99			

Examiner Signature		Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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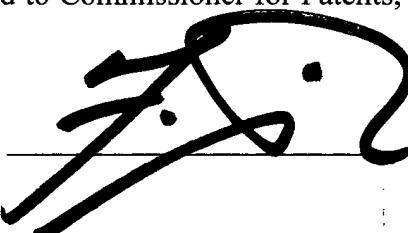


**CERTIFICATE OF MAILING  
(PATENT)**

Express Mail No. EL942516109US

Deposited: March 26, 2002

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Washington, DC 20231.

By: 

Application of: Jerry Hartlaub

Application No.: 10/002,669

Filing Date: October 31, 2001

Title: Patient Scheduling Techniques for an Implantable Medical Device

Transmitted herewith are the following documents:

- Transmittal Form (1 page) in duplicate
- Information Disclosure Statement (2 pages)
- Form PTO-1449 (1 page) with 11 cited references
- Return Postcard

Attorney Case No.: 11738.00046

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